



DOCKET NO.: E0295.70188US00

2131
#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul R. Carpentier et al.
Serial No: 09/391,360
Confirmation No.: 8493
Filed: September 7, 1999
For: SYSTEM AND METHOD FOR SECURE STORAGE,
TRANSFER AND RETRIEVAL OF CONTENT
ADDRESSABLE INFORMATION

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 26th day of September, 2003.

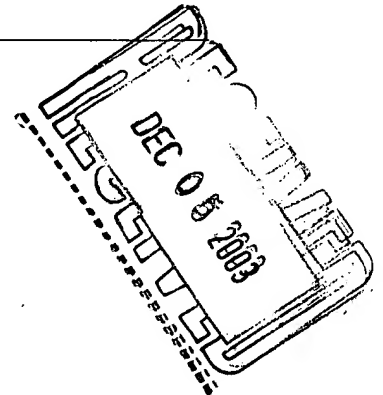
Signature

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with 29 cited references
- ☒ Return Receipt Postcard



If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Paul R. Carpentier et al., Applicants

By:
Richard F. Giunta, Reg. No.: 36,149
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617)720-3500

Docket No. E0295.70188US00

Date: September 26, 2003

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Examiner: Unassigned
Art Unit: Unassigned

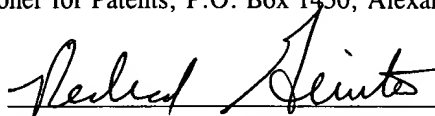
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Richard F. Giunta, Reg. No. 36,149

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97.

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The applicant would like to bring to the Examiner's attention the following applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Attorney Docket No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>
09/235,146	FP-003 (E0295.70186US00)	January 21, 1999	Carpentier et al.
09/236,366	FP-002 (E0295.70185US00)	January 21, 1999	Carpentier et al.
Not yet assigned	E0295.70192US00	September 26, 2003	Carpentier et al.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

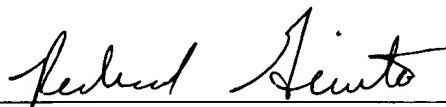
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

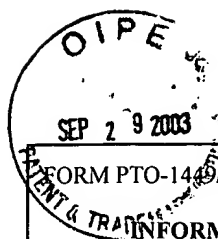
Respectfully submitted,
Paul R. Carpentier et al., *Applicants*

By: 
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600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617) 720-3500

Docket No. E0295.70188US00

Date: 09/26/03

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FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/391,360		ATTY. DOCKET NO.: E0295,701881'S00	
				FILING DATE: September 7, 1999		CONFIRMATION NO.: 8493	
				APPLICANT: Paul R. Carpentier et al.			
				GROUP ART UNIT: Unassigned		EXAMINER: Unassigned	
Sheet	1	of	2				

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	1.	5,202,982		Gramlich et al.	04-13-1993
	2.	5,404,508		Konrad et al.	04-04-1995
	3.	5,581,764		Fitzgerald et al.	12-03-1996
	4.	5,600,834		Howard	02-04-1997
	5.	5,694,596		Campbell	12-02-1997
	6.	5,701,316		Alferness et al.	12-23-1997
	7.	5,710,922		Alley et al.	01-20-1998
	8.	5,742,807		Masinter	04-21-1998
	9.	5,757,915		Aucsmith et al.	05-26-1998
	10.	5,907,619		Davis	05-25-1999
	11.	5,991,414		Garay et al.	11-23-1999
	12.	US2002/0002485	A1	O'Brien et al.	01-03-2002
	13.	US2002/0019935	A1	Andrew et al.	02-14-2002

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	14.	PCT	96/32685		Kinetech, Inc.	10-17-1996	
	15.	PCT	97/43717		Corporation for National Research Initiatives	11-20-1997	
	16.	PCT	99/38092		Carpentier et al.	07-29-1999	
	17.	PCT	99/38093		Carpentier et al.	07-29-1999	
	18.	GB	2 294 132	A	GEC-Marconi Limited	04-17-1996	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
	19.	BOSSELAERS et al., "The RIPMED-160 Cryptographic Hash Function", Dr. Dobb's Journal, January 1997.		
	20.	FOWLER, et al., "A User-Level Replicated File System", June 21-25, 1993, Usenix Summer 1993 Technical Conference.		
	21.	ANDREW GORE, "FileWave agents across Atlantic", March 29, 1993, MACWEEK.		
	22.	GREENE, et al., "Multi-Index Hashing for Information Retrieval", November 20-22, 1994, Proceedings. 35 th Annual Symposium on Foundations of Computer Science, IEEE.		
	23.	ROBERT HESS, "FileRunner gets in sync with MAC", May 3, 1993, MACWEEK.		
	24.	HIRANO et al., "Improved Extendible Hashing with High Concurrency", 1995, Systems and Computers in Japan, Vol. 26, No. 13.		



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25. MOORE et al., "Why LIFNs?", August 31, 1995, www.netlib.org

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 09/391,360	ATTY. DOCKET NO.: E0295.70188US00
		FILING DATE: September 7, 1999	CONFIRMATION NO.: 8493
		APPLICANT: Paul R. Carpentier et al.	
		GROUP ART UNIT: Unassigned	EXAMINER: Unassigned
Sheet	2	of	2

OTHER ART — NON PATENT LITERATURE DOCUMENTS

26.	PRUSKER et al., "The Siphon: Managing Distant Replicated Repositories", November 8-9, 1990, Proceedings on the Management of Replicated Data, IEEE.		
27.	Rich et al., "hobgoblin: A File and Directory Auditor", September 30 – October 3, 1991, LISA V, San Diego, CA.		

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

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